

## Extreme Gradient Boosting Model with SMOTE for Heart Disease Classification

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### Abstract

Heart disease is one of the leading causes of death worldwide. According to data from the World Health Organisation (WHO), the number of victims who die from heart disease reaches 17.5 million people every year. However, the method of diagnosing heart disease in patients is still not optimal in determining the proper treatment. Along with technology development, various models of machine learning algorithms and data processing techniques have been developed to find models that can produce the best precision in classifying heart disease. This research aims to create a machine learning algorithm model for categorizing heart disease, thereby enhancing the effectiveness of diagnosis and facilitating the determination of appropriate treatment for patients. This research also aims to overcome the limitations of accuracy in existing diagnosis methods by identifying models that can provide the best results in processing and analyzing health data, particularly in terms of heart disease classification. In this study, the XGBoost model was identified as the most superior, with an accuracy of 99%. These results demonstrate that the XGBoost model achieves a higher accuracy rate than previous methods, making it a promising solution for enhancing the accuracy of future heart disease diagnosis and classification.

**Keywords:** Heart Disease, SMOTE, XGBoost, KNN, SVM

### Abstrak

Penyakit jantung adalah salah satu penyebab utama kematian di seluruh dunia. Menurut data dari World Health Organisation (WHO), jumlah korban yang meninggal akibat penyakit jantung mencapai 17,5 juta orang setiap tahunnya. Meski demikian, metode diagnosis penyakit jantung pada pasien masih belum optimal dalam menentukan penanganan yang tepat. Seiring dengan perkembangan teknologi, berbagai model algoritma *machine learning* dan teknik pengolahan data telah dikembangkan untuk menemukan model yang dapat menghasilkan akurasi terbaik dalam mengklasifikasikan penyakit jantung. Penelitian ini bertujuan untuk mengembangkan model algoritma *machine learning* dalam mengklasifikasikan penyakit jantung, sehingga dapat meningkatkan efektifitas diagnosa dan membantu dalam menentukan pengobatan yang tepat bagi pasien. Penelitian ini juga bertujuan untuk mengatasi keterbatasan akurasi pada metode diagnosis yang sudah ada, dengan cara mengidentifikasi model yang mampu memberikan hasil terbaik dalam mengolah dan menganalisa data kesehatan, khususnya dalam hal klasifikasi penyakit jantung. Pada penelitian ini, model XGBoost diidentifikasi sebagai model yang paling unggul, dengan akurasi sebesar 99%. Hasil ini menunjukkan bahwa model XGBoost memiliki tingkat akurasi yang lebih tinggi dibandingkan dengan metode-metode sebelumnya, sehingga dapat menjadi solusi yang menjanjikan dalam meningkatkan akurasi diagnosis dan klasifikasi penyakit jantung di masa depan.

**Kata Kunci:** Penyakit Jantung, SMOTE, KNN, XGBoost, SVM



## 1. INTRODUCTION

Heart disease is one of the diseases that is considered to be the leading cause of death. Victims of heart disease and stroke are as many as 17.5 million people each year around the world, according to reports from the World Health Organization (WHO) (Baccouche et al., 2020; Xu et al., 2022). Heart disease encompasses a range of conditions that impact human heart health (Benhar et al., 2020; Malakouti, 2023). Some of these conditions include diseases of the blood vessels, such as heart attack, stroke, heart failure, and arrhythmia. (El-Sofany, 2024; Radhika & George, 2021; Subathra & Sumathy, 2024). Two terms usually confuse most people, namely, the terms “heart disease” and “cardiovascular disease”, which can cause heart attack, stroke, and chest pain (Maity et al., 2023; Pan et al., 2020). With the advancement of science, collecting data on heart disease has become easier to obtain and analyze, facilitating the development of early diagnosis methods (Ammar et al., 2021; Hossain et al., 2023).

To properly diagnose patients with heart disease, it is necessary to classify the disease. Research has been conducted on the classification of heart disease by J. P. Li et al. (2020) in 2020 using several machine learning classification models such as Naïve Bayes, Support Vector Machine, Logistic Regression, Artificial Neural Network, Decision Tree, and k-Nearest Neighbor, which are combined with several feature extractions to assist in data processing. Feature extraction is used to extract features from data that will be used to determine classification parameters. The results obtained have the best accuracy of 92.37% from the SVM model with FCMIM feature extraction.

In a recent study, El-Sofany (2024) employs three different feature selection methods, including chi-square, analysis of variance (ANOVA), and mutual information. This study also employs various machine learning techniques, including Naïve Bayes, Support Vector Machine (SVM), Voting, XGBoost, AdaBoost, bagging, Decision Tree, K-Nearest Neighbor, Random Forest, and Logistic Regression, to classify heart disease. Using the SF-2 feature subset that contains 10 of 14 features and XGBoost with SMOTE to oversample the imbalanced dataset from the combined Cleaveland Heart Disease Dataset and private dataset, the model reached an accuracy of 97,35%.

Another study by Manikandan et al. (2024) employed Boruta feature selection and compared the performance of five machine learning models, including Logistic Regression, Support Vector Machine (SVM), Decision Tree, Random Forest, and XGBoost. The Cleaveland Heart Disease Data Set (Ashtaiwi et al., 2024) was used to train and test the model. The study achieved an accuracy of 88,52% using logistic regression. Another finding from this paper is that Boruta Feature Selection also improves model accuracy for the Support Vector Machine and Decision Tree. However, this feature selection method lowers accuracy for the Random Forest (Gárate-Escamila et al., 2020) and XGBoost models, while Logistic Regression receives no improvement in accuracy.

Lastly, using a newer dataset from Maghdid & Rashid (2022), research from Anshori & Haris (2022) uses logistic regression, support vector machine (SVM), and Linear Discriminant Analysis (LDA) to classify heart disease. The data is considered clean, and the researcher did not specify the training and test split amount. Cross-validation was used to evaluate each model, and Logistic Regression was the best model in their research, reaching 81,35% accuracy.

However, the model used is not optimal enough to classify heart disease, so there is a need to increase the resulting accuracy. Machine learning classification methods are continually evolving, and new models are emerging that can achieve higher accuracy. Therefore, an analysis is needed to compare the machine learning models that have been developed to obtain more accurate results. Some models that will be used in this study include XGBoost (Chen et al., 2022; Mamun et al., 2022; Muslim et al., 2023), Support Vector Machine (M. Li et al., 2021; Wazrah & Alhumoud, 2021), Decision Tree (Haznedar & Simsek, 2022; Huang & Chen, 2022), Naïve Bayes (Gibson et al., 2020), Logistic Regression (Bengesi et al., 2023), and K-nearest Neighbor (Islam et al., 2023).



## 2. METHODS

The methods used in this study are several machine learning classification models, namely XGBoost, SVM, Decision Tree, Logistic Regression, KNN, and Naïve Bayes. Before being processed through the research model, the data undergoes several stages of preprocessing, including cleaning and replacing values with numeric ones. Then, sampling is carried out using SMOTE, and the data are trained with the model and an evaluation matrix. The methods used in this study are explained in the next section and illustrated in Figure 1.

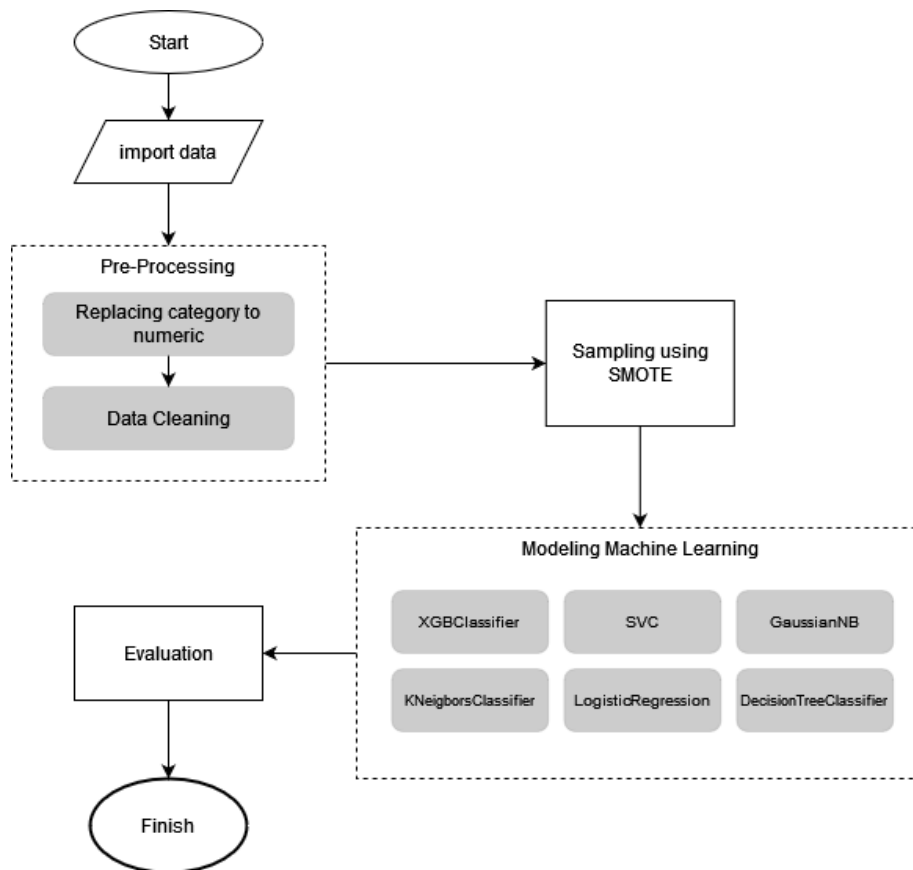


Figure 1 Flowchart for Proposed Method

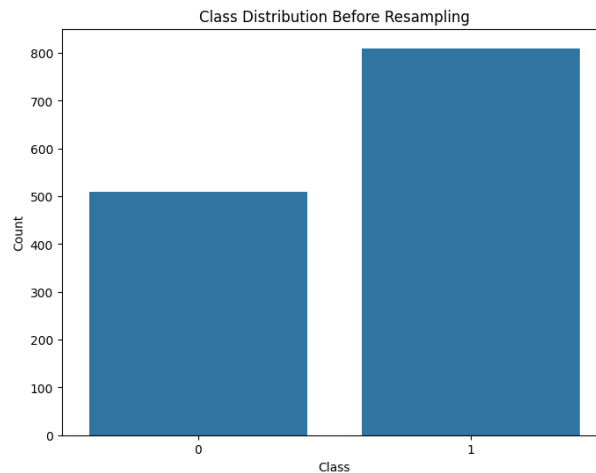
### 2.1 Data Collection, Preprocessing, and Sampling Using SMOTE

The dataset used in this study is “An Extensive Dataset for the Heart Disease Classification System” released on Mendeley Data (Maghdid & Rashid, 2022). This dataset contains 1319 data points with nine features. There are two classes: ‘positive’ for CVD-positive with 810 data and ‘negative’ for CVD-negative with 509 data. In data preprocessing, the data in the class column will be converted to a binary form, where initially positive and negative values will be changed to 0 and 1, respectively. Negative will become 0, and positive will become 1. Since the data used in this study is text data, it is necessary to check for missing values and duplicates and remove them, as missing and duplicate data can decrease model performance. The numerical feature will be scaled using Eq. (1). Here,  $z$  represents the scaled data,  $x$  represents the data before scaling,  $u$  represents the mean of the data, and  $s$  represents the standard deviation of the data. The Standard Scaler is performed using StandardScaler from the sklearn library.

$$z = \frac{(x - u)}{s} \quad (1)$$



After cleaning the data and checking for missing values, another Exploratory Data Analysis is performed to verify the balance of the data. Unbalanced data will affect the results obtained by the classification model. Methods to overcome data imbalance can use sampling. One library that can be used is SMOTE (Sridhar & Sanagavarapu, 2021). SMOTE is a method for creating data samples to adjust the data from each category, thereby producing a balanced dataset. The data used in this study is unbalanced in the category for the class column. Based on the distribution of the class column, there are 61.4% of data with a value of 1 (Positive) and 38.6% of data with a value of 0 (Negative). The distribution of data is uneven and needs to be balanced to achieve maximum results.



**Figure 2 Before Resampling**

## 2.2 Modeling Machine Learning

The modeling process begins with importing machine learning classifier libraries, such as XGBClassifier for XGBoost, SVC for SVM, KNeighborsClassifier for KNN, LogisticRegression for Logistic Regression, DecisionTreeClassifier for Decision Trees, and GaussianNB for Naïve Bayes. Firstly, one of the machine learning algorithms, Naïve Bayes, is based on Bayes' theory and assumes that every feature is independent (a Naïve assumption) (El-Sofany, 2024). Even if the features are not entirely independent, this algorithm is quite robust and effective, especially in text classification applications such as spam filtering, sentiment analysis, and document generation. Gaussian Naïve Bayes (GaussianNB) (Ningsih et al., 2024) is an initialization model. This algorithm indicates that some parameters follow a Gaussian (normal) distribution. Gaussian Naïve Bayes is typically used when the fit is continuous.

Secondly, Support Vector Machine (SVM) is a machine learning algorithm that is highly effective for classification and regression tasks (Bengesi et al., 2023). SVM operates by searching for a hyperplane that maximizes the margin of error for each data set. This makes SVM extremely effective at solving classification problems, particularly when data cannot be processed linearly (Obiedat et al., 2022). Initialization of the SVM model using a linear kernel (kernel='linear') (Rofik et al., 2024). The type of hyperplane that is used to sift data is determined by the kernel. In this case, the linear kernel that is evaluated means that the model will search for linear terms or linear polynomials.

K-Nearest Neighbors (KNN) is a machine learning algorithm for classification and regression. KNN (El-Sofany, 2024) operates according to the following principle. When new data is provided, KNN determines the class or value of the data based on the k data points matched in the training dataset. The K-Nearest Neighbors (KNN) model (Jabbar et al., 2013) is analyzed with the parameter n\_neighbors=5. Accordingly, the model will employ five lateral tangents to determine the new dataset. KNN can't learn like other algorithms; instead, it just provides long-term data



that can be used for prediction. The Logistic Regression algorithm is a machine learning technique used for classification (Patidar et al., 2022), primarily for binary classification problems (two classes). Although it is called “regression,” logistic regression is a classification model, rather than a linear regression. The model is trained with a ‘max\_iter=1000’ parameter. This parameter sets the maximum number of iterations for the optimization algorithm used in model training.

A decision Tree is a machine learning algorithm for regression and classification. Decision trees break down datasets into smaller subsets based on the current feature until they reach the end (leaf of the tree) (Huang & Chen, 2022). This graph’s structure is composed of single-simulation (nodes) that monitor a feature or attribute, branch-branch (branches) that monitor a feature’s values, and leaf-branch (leaves) that monitor a class or prediction. Decision Tree Classifier from the scikit-learn library is used to create a probabilistic model for classification (Oh, 2021). Lastly, Extreme Gradient Boosting (XGBoost) is a popular and effective machine learning algorithm for classification and regression tasks (El-Sofany, 2024). XGBoost is a single boosting technique that uses ensemble learning to maximize prediction model performance. XGBoost model initialization for classification using XGBClassifier from the XGBoost source (Zhang & Gong, 2020). This parameter uses the default value from XGBoost.

### 2.3 Evaluation Model

The evaluation model utilizes a confusion matrix with the following composition: F1-score accuracy, recall, and precision. This performance analysis focuses on the accuracy achieved by the proposed model in comparison to previous research. The mathematical formulas in Eqs. (2), (3), (4), and (5) are used to analyze the results using a confusion matrix.

$$Accuracy = \frac{TP + TN}{TP + FP + FN + TN} \times 100 \quad (2)$$

$$Precision = \frac{TP}{TP + FP} \quad (3)$$

$$Recall = \frac{TP}{TP + FN} \quad (4)$$

$$F1\ Score = 2 \times \frac{(precision \times recall)}{(precision + recall)} \quad (5)$$

True Positive (TP) refers to the number of correct instances identified as positive. True Negative (TN) represents the number of incorrect instances identified as negative. False Positive (FP) occurs when correct instances are mistakenly classified as positive, while False Negative (FN) happens when incorrect instances are misclassified as positive.

## 3. RESULTS AND DISCUSSION

### 3.1 Result

The results of this study present findings from the process on the research model. Several stages of the process were completed, including preprocessing and evaluation. At the data cleaning stage, the data is checked and cleaned to ensure the research model can process it. The effectiveness of the data-cleaning process can be seen in Table 1. It is evident that the data is clean of missing values. However, the range age column is not used because it is better to use the age column. Therefore, the column will be dropped, and duplicate data will be cleaned up.

The data that is changed is the data in the class column, which is used as a label. Here, the class column contains data in the form of objects with both positive and negative contents. Then, the data must be converted to a numeric form to facilitate data processing in the research model. So, the data will be changed to 1 for positive and 0 for negative. The replacement values can be seen



in Table 2. The algorithm addresses the class imbalance in the target variable using the Synthetic Minority Over-sampling Technique (SMOTE). The training data is first divided into the target variable (y) and characteristics (X). All columns are included in the features, except the target column “class,” and the data from that column is contained in column y. To balance the class distribution, synthetic samples of the minority class are subsequently created using SMOTE. Results are guaranteed to be consistent when random\_state=42 is used. The original dataset is smaller than the resampled data, X\_resampled and y\_resampled. Lastly, the code prints the shapes from the original and resampled datasets to show the modifications. The results of the sampling are presented in Table 3.

**Table 1 Result from Cleaning Data**

Column Name	Value
age	0
gender	0
impluse	0
pressurehight	0
pressurelow	0
glucose	0
kcm	0
troponin	0
class	0
Age_Range	0

**Table 2 Result from Replacing Value**

Class Before Replacing	Class After Replacing
Negative	0
Positive	1

**Table 3 Result from Resampling**

Before Sampling Using SMOTE		After Sampling Using SMOTE	
Class	Count	Class	Count
1	647	1	647
0	408	0	647

Data sampled with SMOTE will follow the most significant amount of data, which is 647 data points at value 1. Therefore, the value 0 data will change to 647, originally 408 data, so that the data used will be balanced. As for the result without SMOTE, the training data that is not sampled using SMOTE oversampling has been tested using the research model, with the results presented in the classification report below.

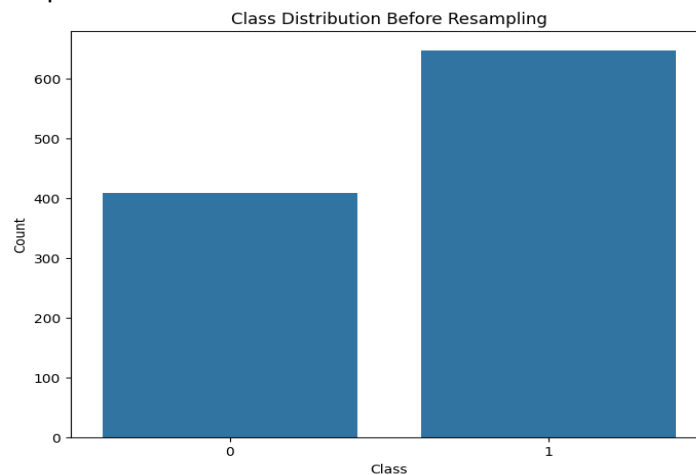


Figure 3 Before Resampling

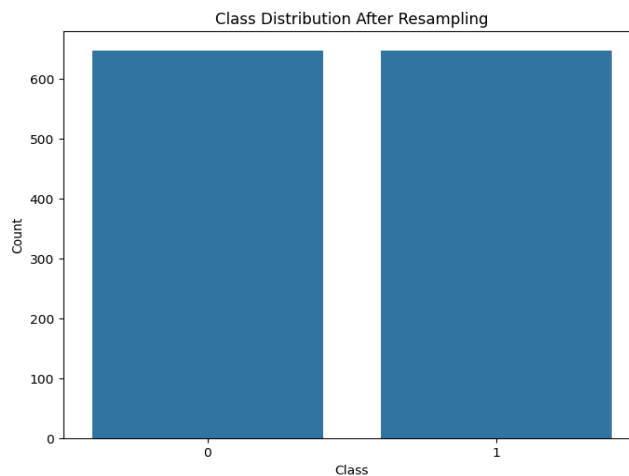


Figure 4 After Resampling

### 3.1.1 Naïve Bayes without SMOTE

The results obtained by the Naïve Bayes research model, using data that is not oversampled, are presented in Table 4 as a classification report. The classification report shows the model's performance in distinguishing between two classes, '0' and '1'. For class 0, the model obtained an average precision (64.52%) but a very high recall rate (99.01%), which means that the model identified the most significant number of correct examples in this class, resulting in a decent F1 value of 0.7812. For Class 1, the model achieved high precision (99.08%) but lower recall (66.26%), indicating that it missed a few correct examples from this class, with an F1 value of 0.7941. Overall, the model had a precision of 78.79%. The macro averages (precision: 0.8180, recall: 0.8263, F1-score: 0.7877) demonstrate a balanced performance across the two classes, whereas the weighted average takes into account the class distribution.

Table 4 Result Naïve Bayes without SMOTE

	Precision	Recall	F1-Score	Support
0	0.6452	0.9901	0.7812	101
1	0.9908	0.6626	0.7941	163
<b>Accuracy</b>			0.7879	264
<b>Macro avg</b>	0.8180	0.8263	0.7877	264
<b>Weighted avg</b>	0.8586	0.7879	0.7892	264

### 3.1.2 Support Vector Machine without SMOTE

Table 5 Result from SVM without SMOTE

	Precision	Recall	F1-Score	Support
0	0.7282	0.7426	0.7353	101
1	0.8385	0.8282	0.8333	163
<b>Accuracy</b>			0.7955	264
<b>Macro avg</b>	0.7833	0.7854	0.7843	264
<b>Weighted avg</b>	0.7963	0.7955	0.7958	264

The results obtained by the support vector machine research model, using data that is not oversampled, are presented in Table 5 as a classification report. This classification report presents the model's performance for two classes: '0' and '1'. For class 0, the model achieves an accuracy of 72.82% and a recall of 74.26%, yielding an F1-score of 0.7353. For Class 1, the





model achieved an accuracy of 83.85% and a recall of 82.82%, with an F1 value of 0.8333. The overall accuracy of this model was 79.55%. The macro-mean (precision 0.7833, recall 0.7854, F1-score 0.7843) showed a balanced performance for both classes, while the weighted mean (precision 0.7963, recall 0.7955, F1-score 0.7958) reflected the class distribution, suggesting that the model performed exceptionally well for both classes, with a slight advantage for the prediction of class 1.

### 3.1.3 K-Nearest Neighbor without SMOTE

The results obtained by the k-nearest neighbor research model, using data that is not oversampled, are presented in Table 6 as a classification report. This classification report shows the model's performance for classes '0' and '1'. For Class 0, the model achieves an accuracy of 57.45% and a recognition rate of 53.47%, resulting in an F1 value of 0.5538. Class 1 achieves a higher accuracy of 72.35% and a recognition rate of 75.46%, resulting in an F1 value of 0.7387. The overall accuracy was 67.05%. The macro average (precision 0.6490, recall 0.6446, F1-score 0.6463) shows moderate performance for all classes, while the weighted average (precision 0.6665, recall 0.6705, F1-score 0.6680) reflects slightly better performance for class 1 due to greater support, indicating that the model generally prefers class 1 over class 0 in its predictions.

**Table 6 Result from KNN without SMOTE**

	Precision	Recall	F1-score	Support
<b>0</b>	0.5745	0.5347	0.5538	101
<b>1</b>	0.7235	0.7546	0.7387	163
<b>Accuracy</b>			0.6705	264
<b>Macro avg</b>	0.6490	0.6446	0.6463	264
<b>Weighted avg</b>	0.6665	0.6705	0.6680	264

### 3.1.4 Logistic Regression without SMOTE

The results obtained by the logistic regression research model, using data that is not oversampled, are presented in Table 7 as a classification report. This classification report with precision, recall, and F1-score metrics for two classes labeled "0" and "1." For class "0," the precision is 0.7609, the recall is 0.6931, and the F1-score is 0.7254, based on 101 instances. For class "1," the precision is higher at 0.8198, with a recall of 0.8650 and an F1-score of 0.8318, based on 163 instances. The model's overall accuracy is 0.7992, indicating that it correctly classified approximately 79.92% of the samples. Additionally, the macro average (averaging both classes without considering class imbalance) and weighted average (considering class imbalance) for F1-scores are 0.7836 and 0.7973, respectively, reflecting consistent performance across both classes.

**Table 7 Result Logistic Regression Without SMOTE**

	Precision	Recall	F1-score	Support
<b>0</b>	0.7609	0.6931	0.7254	101
<b>1</b>	0.8198	0.8650	0.8318	163
<b>Accuracy</b>			0.7992	264
<b>Macro avg</b>	0.7903	0.7790	0.7836	264
<b>Weighted avg</b>	0.7972	0.7992	0.7973	264

### 3.1.5 Decision Tree without SMOTE

The results obtained by the decision tree research model, using data that is not oversampled, are presented in Table 8 as a classification report. This classification report indicates that the model's decision tree achieves high precision, recall, and F1-scores for both classes. For class "0," precision, recall, and F1-score are all 0.9703, based on 101 instances. For class "1," these metrics are slightly higher, with precision, recall, and F1-score of 0.9816, based on 163 instances. The model achieves an overall accuracy of 0.9773, indicating that it correctly classified approximately





97.73% of the samples. The macro average and weighted average F1-scores are around 0.9759 and 0.9773, respectively, reflecting consistently high performance across both classes.

**Table 8 Result Decision Tree without SMOTE**

	Precision	Recall	F1-score	Support
<b>0</b>	0.9703	0.9703	0.9703	101
<b>1</b>	0.9816	0.9816	0.9816	163
<b>Accuracy</b>			0.9773	264
<b>Macro avg</b>	0.9759	0.9759	0.9759	264
<b>Weighted avg</b>	0.9773	0.9773	0.9773	264

### 3.1.6 Extreme Gradient Boosting without SMOTE

The results obtained by the extreme gradient boosting research model, using data that is not oversampled, are presented in Table 9 in the form of a classification report. This classification report demonstrates excellent performance across both classes. For class “0,” the precision is 0.9800, the recall is 0.9703, and the F1-score is 0.9751, based on 101 instances. For class “1,” the precision is slightly higher at 0.9817, with a recall of 0.9877 and an F1-score of 0.9847, based on 163 instances. The model achieves an overall accuracy of 0.9811, indicating it correctly classified approximately 98.11% of the samples. The macro average and weighted average F1-scores are 0.9799 and 0.9810, respectively, reflecting strong and consistent performance across both classes. As a result, with SMOTE, the model is also trained on oversampled training data, which produces the following classification report. The results of this case are depicted as follows.

**Table 9 Result XGBoost without SMOTE**

	Precision	Recall	F1-score	Support
<b>0</b>	0.9800	0.9703	0.9751	101
<b>1</b>	0.9817	0.9877	0.9847	163
<b>Accuracy</b>			0.9811	264
<b>Macro avg</b>	0.9809	0.9790	0.9799	264
<b>Weighted avg</b>	0.9811	0.9811	0.9810	264

### 3.1.7 Naïve Bayes with SMOTE

The results of testing the Naïve Bayes model with data balanced using SMOTE yielded classification report results, which are presented in Table 10. The model achieved an accuracy of 80%, indicating that 80% of the predictions were correct. For class 0, the precision is 0.65, meaning that 65% of the predicted class 0 instances were accurate. The high recall of 0.99 indicates that almost all actual class 0 instances were correctly identified. For class 1, the precision is 0.99, indicating strong performance in predicting class 1, but the recall is lower at 0.68, meaning that only 68% of actual class 1 instances were correctly predicted. The F1-scores, which balance precision and recall, are 0.79 for class 0 and 0.80 for class 1. The macro average, which averages precision, recall, and F1-score across both classes without accounting for class imbalance, yields precision of 0.82, recall of 0.83, and an F1-score of 0.80. The weighted average, which considers class imbalance, yields similar values with precision of 0.86, recall of 0.80, and an F1-score of 0.80. The model performs well for class 0 but shows weaker recall for class 1, indicating room for improvement in predicting that class.

**Table 10 Result from Naïve Bayes with SMOTE**

	Precision	Recall	F1-score	Support
<b>0</b>	0.6536	0.9901	0.7874	101
<b>1</b>	0.9910	0.6748	0.8029	163
<b>Accuracy</b>			0.7955	264
<b>Macro avg</b>	0.8223	0.8325	0.7952	264
<b>Weighted avg</b>	0.8619	0.7955	0.7970	264



### 3.1.8 Support Vector Machine with SMOTE

The results of testing the support vector machine model with data balanced using SMOTE yielded classification report results, which are presented in Table 11. The performance metrics of an SVM (Support Vector Machine) model achieved an accuracy of 78%. This indicates that the model correctly classified 78% of the instances. For class 0, the model achieves a precision of 0.62, meaning that 62% of the predicted class 0 instances are correct, and a high recall of 0.97, indicating that 97% of the actual class 0 instances are accurately identified. For class 1, the precision is higher at 0.97, but the recall is lower at 0.66, meaning only 66% of the actual class 1 instances were correctly predicted. The F1-scores, which balance precision and recall, are 0.77 for class 0 and 0.79 for class 1. The macro average for precision, recall, and F1-score across both classes is 0.81, 0.82, and 0.78, respectively. The weighted average, which accounts for class imbalance, yields slightly different results, with precision, recall, and F1-score values of 0.85, 0.78, and 0.78, respectively.

**Table 11 Result from SVM with SMOTE**

	Precision	Recall	F1-score	Support
<b>0</b>	0.6405	0.9703	0.7717	101
<b>1</b>	0.9730	0.6626	0.7883	163
<b>Accuracy</b>			0.7803	264
<b>Macro avg</b>	0.8067	0.8164	0.7800	264
<b>Weighted avg</b>	0.8454	0.7803	0.7819	264

### 3.1.9 K-Nearest Neighbor with SMOTE

The results of testing the K-Nearest Neighbor model with data balanced using SMOTE yielded classification report results, which are presented in Table 12. The performance metrics of a K-Nearest Neighbors (KNN) classification model achieved an accuracy of approximately 0.65 (65%). This means the model correctly predicted the class for 68% of the instances. For class 0, the precision is 0.54, indicating that 54% of the predicted class 0 instances were correctly classified, while the recall is 0.65, meaning that 65% of the actual class 0 instances were identified correctly. For class 1, the precision is 0.75, but the recall is lower at 0.65, meaning only 65% of the actual class 1 instances were predicted correctly. The F1-scores, which balance precision and recall, are 0.59 for class 0 and 0.70 for class 1. The macro averages for precision, recall, and F1-score across both classes are 0.64, 0.65, and 0.64, respectively, indicating that the model performs similarly for both classes. The weighted averages account for class imbalance and yield 0.67 for precision, 0.65 for recall, and 0.64 for the F1-score. Overall, the KNN model exhibits moderate performance, demonstrating some difficulty in distinguishing between the two classes, particularly with a lower recall for class 1. This indicates room for improvement in the model's predictive capability.

**Table 12 Result from KNN with SMOTE**

	Precision	Recall	F1-score	Support
<b>0</b>	0.5366	0.6535	0.5893	101
<b>1</b>	0.7518	0.6503	0.6974	163
<b>Accuracy</b>			0.6515	264
<b>Macro avg</b>	0.6442	0.6519	0.6433	264
<b>Weighted avg</b>	0.6694	0.6515	0.6560	264

### 3.1.10 Logistic Regression with SMOTE

The results of testing the logistic regression model with data balanced using SMOTE yielded classification report results, which are presented in Table 13. The performance metrics of the Logistic Regression classification model achieved an accuracy of approximately 0.79 (79%). This means the model correctly predicted the class for 79% of the instances. For class 0, the precision is 0.67, indicating that 67% of the predicted class 0 instances were correctly classified, while the



recall is 0.86, meaning that 86% of the actual class 0 instances were identified correctly. For class 1, the precision is 0.90, but the recall is lower at 0.74, meaning only 74% of the actual class 1 instances were predicted correctly. The F1-scores, which balance precision and recall, are 0.76 for class 0 and 0.81 for class 1. The macro averages for precision, recall, and F1-score across both classes are 0.79, 0.80, and 0.78, respectively, indicating that the model performs similarly for both classes. The weighted averages, which account for class imbalance, also yield 0.81 for precision, 0.79 for recall, and 0.79 for the F1-score. Overall, the Logistic Regression model has moderate performance, showing difficulty distinguishing between the two classes, particularly with a lower precision for class 0. This indicates room for improvement in the model's predictive capability.

**Table 13 Result from Logistic Regression with SMOTE**

	Precision	Recall	F1-score	Support
<b>0</b>	0.6744	0.8614	0.7565	101
<b>1</b>	0.8963	0.7423	0.8121	163
<b>Accuracy</b>			0.7879	264
<b>Macro avg</b>	0.7854	0.8019	0.7843	264
<b>Weighted avg</b>	0.8114	0.7879	0.7903	264

### 3.1.11 Decision Tree with SMOTE

The results of testing the decision tree model with data balanced using SMOTE yielded classification report results, which are presented in Table 14. Performance summary for a decision tree model. This model achieved a high accuracy of approximately 0.981. The table includes the performance metrics such as 'precision', 'recall', 'f1-score', and 'support' for two classes, labeled '0' and '1'. For Class 0, the precision is 0.98, the recall is 0.97, and the F1-score is 0.98. Class 1 shows a precision of 0.98, a recall of 0.99, and an F1-score of 0.99. The model performs exceptionally with high-performance metrics for both macro and weighted average calculations.

**Table 14 Result Decision Tree with SMOTE**

	Precision	Recall	F1-score	Support
<b>0</b>	0.9800	0.9703	0.9751	101
<b>1</b>	0.9817	0.9877	0.9847	163
<b>Accuracy</b>			0.9811	264
<b>Macro avg</b>	0.9809	0.9790	0.9799	264
<b>Weighted avg</b>	0.9811	0.9811	0.9810	264

### 3.1.12 Extreme Gradient Boosting with SMOTE

The results of testing the extreme gradient boosting model with data balanced using SMOTE yielded classification report results, which are presented in Table 15. Performance metrics for an XGBoost model, which has achieved an impressive accuracy of approximately 0.985. The metrics detailed include 'precision', 'recall', and 'f1-score' for two classes, labeled as '0' and '1'. Both classes exhibit outstanding performance, with precision, recall, and F1-scores of around 0.98-0.99. This summary indicates a highly effective model performance across all evaluated categories.

**Table 15 Result XGBoost With SMOTE**

	Precision	Recall	F1-score	Support
<b>0</b>	0.9848	0.9802	0.9802	101
<b>1</b>	0.9877	0.9877	0.9877	163
<b>Accuracy</b>			0.9848	264
<b>Macro avg</b>	0.9840	0.9840	0.9840	264
<b>Weighted avg</b>	0.9848	0.9848	0.9848	264



### 3.2 Discussion

The discussion will compare research models that have been trained using data that has not been balanced with SMOTE and those that have been balanced with SMOTE. Then, the best model is used as a proposed model. The proposed model will be compared with existing research models. A comparison of research models is presented in Table 16. Based on the comparison table of each research model, the XGBoost with the SMOTE model has excellent results. The accuracy obtained is 98.48%, with Precision, Recall, and F1-score also reaching around 98%. It can be ascertained that the XGBoost research model outperforms other research models. So, it can be said that the XGBoost with SMOTE model is the proposed model. Another thing to note is that SMOTE can enhance the performance of the model, particularly in models such as Naïve Bayes, Decision Trees, and XGBoost. However, models KNN, Logistic Regression, and SVM saw no increase in performance.

**Table 16 Comparison Result**

Proposed Model	Without SMOTE				With SMOTE			
	Accuracy	Precision	Recall	F1-Score	Accuracy	Precision	Recall	F1-Score
Naïve Bayes	78.79	81.80	82.63	78.77	79.55	82.23	81.64	78.00
KNN	67.05	64.90	64.46	64.63	65.15	64.42	65.19	64.33
Logistic Regression	79.92	79.03	77.90	78.36	78.79	78.54	80.19	78.43
SVM	79.55	78.33	78.54	78.43	78.03	80.67	81.64	78.00
Decision Tree	97.73	97.59	97.59	97.59	98.11	98.09	97.90	97.99
XGBoost	98.11	98.09	97.90	97.99	98.48	98.40	98.40	98.40

Then, the proposed model will be compared with models from previous research. The comparison table of the proposed model with previous research models is presented in Table 17. The SMOTE technique applied to XGBoost improves its performance compared to XGBoost itself and surpasses the more complex or simpler methods used by other researchers in the table. This confirms the importance of adopting a promising approach in preparing data and selecting the appropriate algorithm for a specific type of data.

**Table 17 Comparison with Previous Research**

Author	Model Algorithm	Result
El-Sofany (2024)	XGBoost with sampling SMOTE	97.57%
J. P. Li et al. (2020)	FCMIM-SVM	92.37%
Anshori & Haris (2022)	Logistic Regression	81.3%
Proposed Method	XGBoost+SMOTE	98.48%

## 4. CONCLUSIONS

The results of this study demonstrate that the proposed classification model for heart disease, which integrates the Extreme Gradient Boosting (XGBoost) algorithm with Synthetic Minority Over-sampling Technique (SMOTE), yields superior performance compared to other machine learning models tested. The model achieved a classification accuracy of 98.48%, with precision, recall, and F1-score values consistently above 98%, indicating a high level of reliability and generalizability. These results substantiate the effectiveness of combining advanced ensemble learning with appropriate resampling techniques in addressing class imbalance issues within medical datasets.

Furthermore, the comparative analysis reveals that the XGBoost-SMOTE model outperforms several other baseline classifiers, including Support Vector Machine, Naïve Bayes, Logistic Regression, K-Nearest Neighbors, and Decision Tree, both in pre- and post-resampling conditions. The findings also highlight that while SMOTE positively impacts model performance



across most algorithms, its integration with XGBoost yields the most substantial improvement, thereby reinforcing its suitability for classifying complex, imbalanced clinical data.

When compared to models from prior research, the proposed model exhibits an enhancement in classification performance, surpassing the highest previously reported accuracy of 97.57%. This underscores the significance of meticulous model selection and data preprocessing strategies in developing predictive tools for clinical decision support. Given its empirical robustness and superior accuracy, the XGBoost-SMOTE model proposed in this study holds considerable potential for adoption in real-world diagnostic systems, supporting the early and accurate detection of heart disease.

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